Killer Robots & The PAID Problem in Star Trek TOS, D, and Beyond to DCEC* (in HyperSlate®)

Selmer Bringsjord

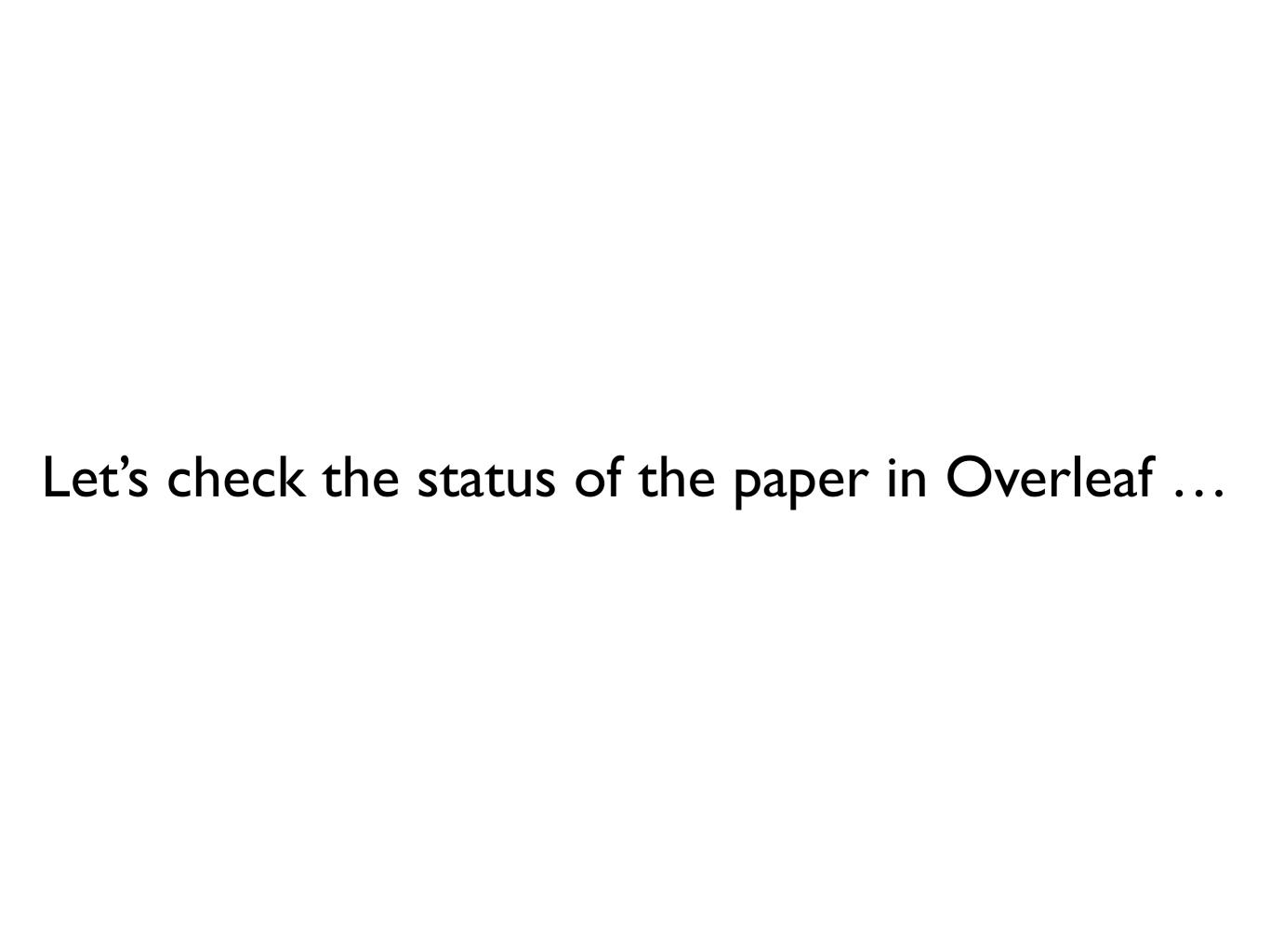
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Troy, New York 12180 USA

IFLAI2 II/I4/2022 ver 1114221133NY





Logistics ...



The PAID Problem ...

"Earth, we have a problem."

"Earth, we have a problem."







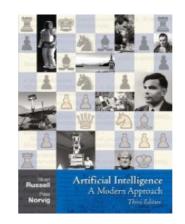


"Earth, we have a problem."











Can "Provably Beneficial AI" Save Us?

Selmer Bringsjord • Naveen Sundar G • John Licato 3

^{1,2}Rensselaer AI & Reasoning (RAIR) Lab • RPI Troy NY 12180 USA

³Advancing Machine & Human Reasoning Lab • U of So Florida II/16/2022 ver 111422NY







PAID!!!! Problem

I. Introduction: The Problem

AI-polymath¹ Stuart Russell, in the face of fear about superhuman AI arriving within 80 years and doing the human race in, offers a recipe for salvation quite different than our own (the sharing of which is beyond the current scope of the present short paper, but see e.g. [8]). He does this in his book *Human Compatible* [11]. Russell does not rely upon The Singularity (or any other such speculative thing) to justify his belief that superintelligent machines will arrive.² On the other hand, Russell is of the opinion that the arrival of superintelligent AI could very well be quite sudden. He writes:

My timeline of, say, eighty years is considerably more conservative than that of the typical AI researcher. Recent surveys suggest that most active researchers expect human-level AI to arrive around the middle of this century. Our experience with nuclear physics suggests that it would be prudent to assume that progress could occur quite quickly and to prepare accordingly. If just one conceptual breakthrough were needed, analogous to Szilard's idea for a neutron-induced nuclear chain reaction, superintelligent AI in some form could arrive quite suddenly. The chances are that we would be unprepared: if we built superintelligent machines with any degree of autonomy, we would soon find ourselves unable to control them. I am, however, fairly confident that we have some breathing space because there are several major breakthroughs needed between here and superintelligence, not just one. [11, Chap. 3, § 7]

PBAI

that the robots are beneficial to humanity. Here is how Russell expresses overall his rather rosy take on things:

[M]y proposal for beneficial machines: machines whose actions can be expected to achieve *our* objectives. Because these objectives are in us, and not in them, the machines will need [via IRL] to learn more about *what we really want* from observations of the choices we make and how we make them. Machines designed in this way will defer to humans: they will ask permission; they will act cautiously when guidance is unclear; and they will allow themselves to be switched off. [11, ¶ 2, § "Beneficial Machines" in Chap. 10 "Problem Solved?"; emphasis ours]

Russell's Targeted Theorem-Sketch

Suppose a machine has components A, B, C, connected to each other like so and to the environment like so, with internal learning algorithms l_A , l_B , l_C that optimize internal feedback rewards r_A , r_B , r_C defined like so, and [a few more conditions] Then, with very high probability, the machine's behavior will be very close in value (for humans) to the best possible behavior realizable on any machine with the same computational and physical capabilities.

Problem I: Sola Utilitarianism?

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- Problem 2: Mental States Not Inferable From Behavior

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The PAID Problem

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Powerful(x) + Autonomous(x) + Intelligent(x) => Dangerous(x)

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While the PAI machines aren't quite as easy to neutralize as the destructive machines vanquished in *Star Trek:TOS*, these relevant four episodes are remarkably instructive.



"The Ultimate Computer" S2 E24



"The Return of the Archons"
\$1 E21



"The Changeling" S2 E3



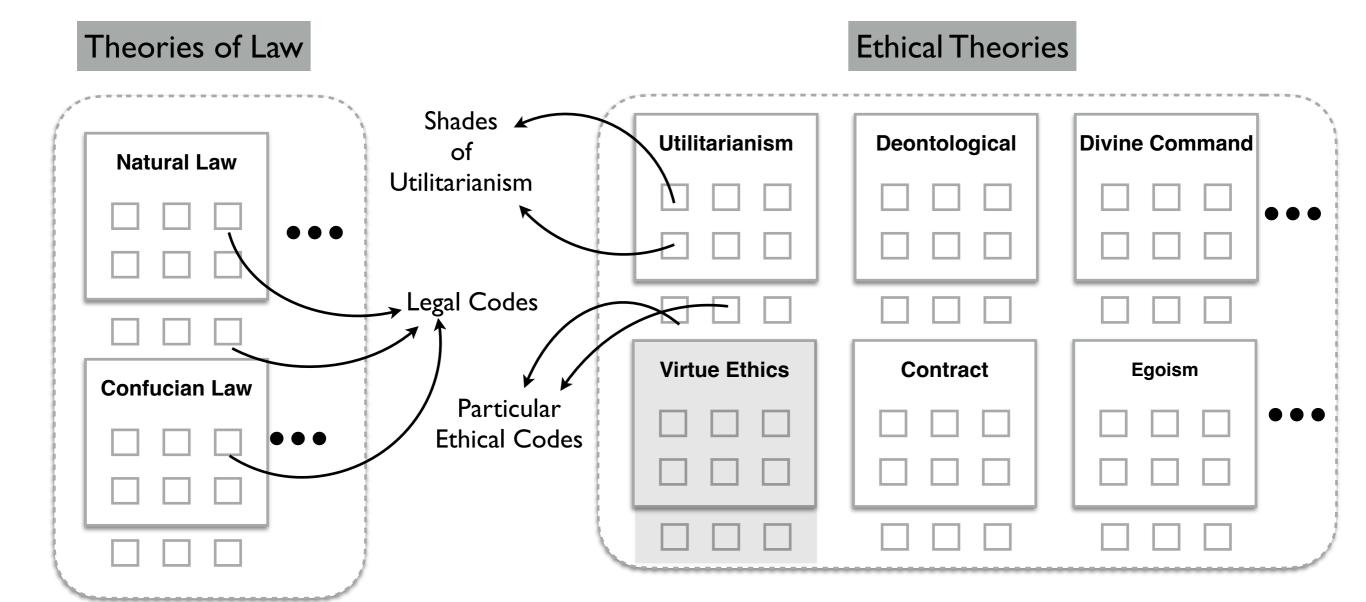
"I, Mudd" S2 E8

The Four Steps ...

MAKING META-MORAL D.C. 600 Edrical DDD M.N. DDD Pick the theory; pick the code; Use the Seibnzia operators; engineer the picks the theory; picks be

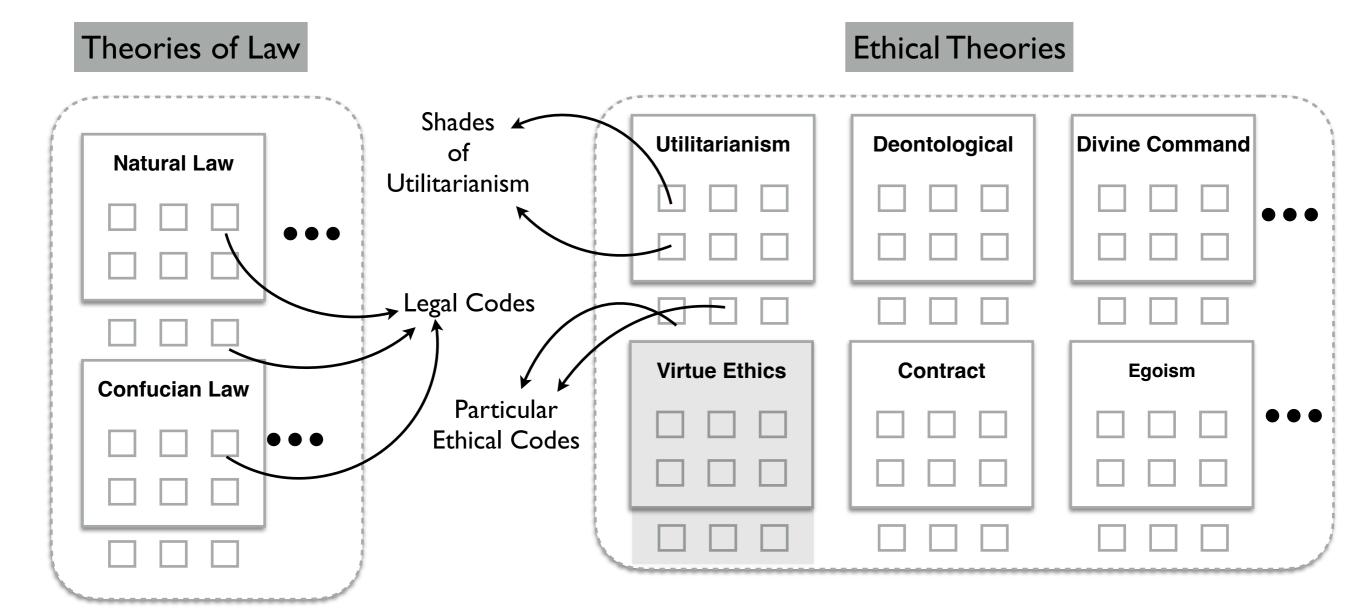
















Theories of Law **Ethical Theories** Shades 4 **Utilitarianism Deontological Divine Command** of **Natural Law** Utilitarianism Legal Codes **Virtue Ethics** Contract **Egoism Confucian Law Particular Ethical Codes**

Step I

- I. Pick a theory
- 2. Pick a code
- 3. Run through EH.
- 4. Formalize in a Cognitive Calculus.

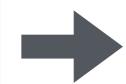




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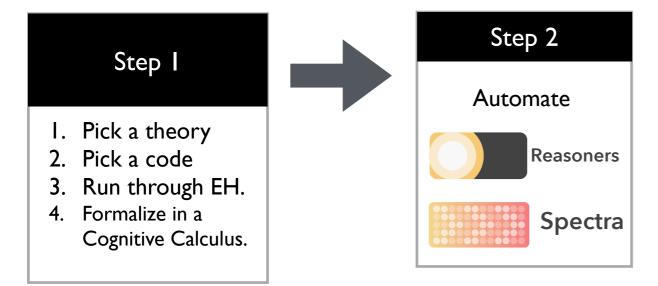
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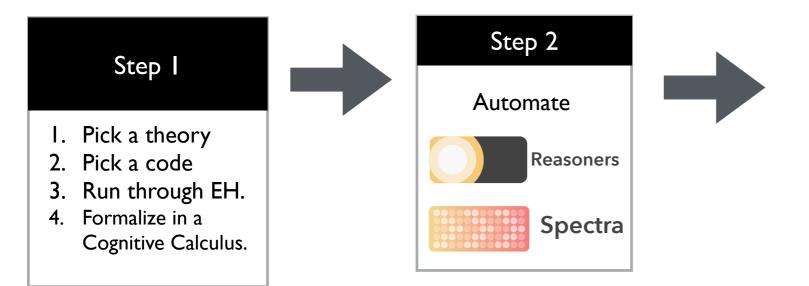
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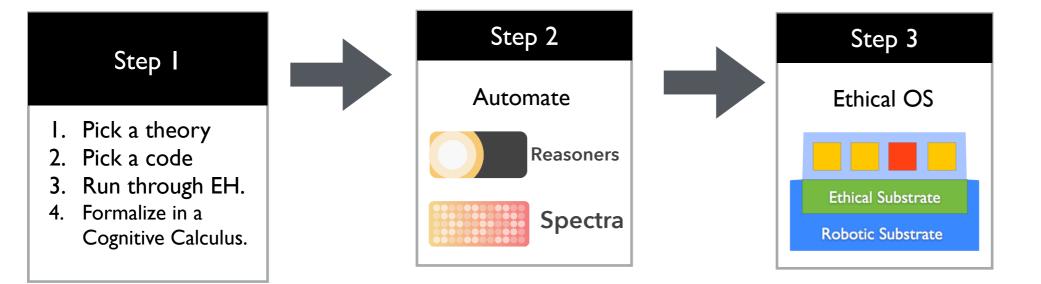
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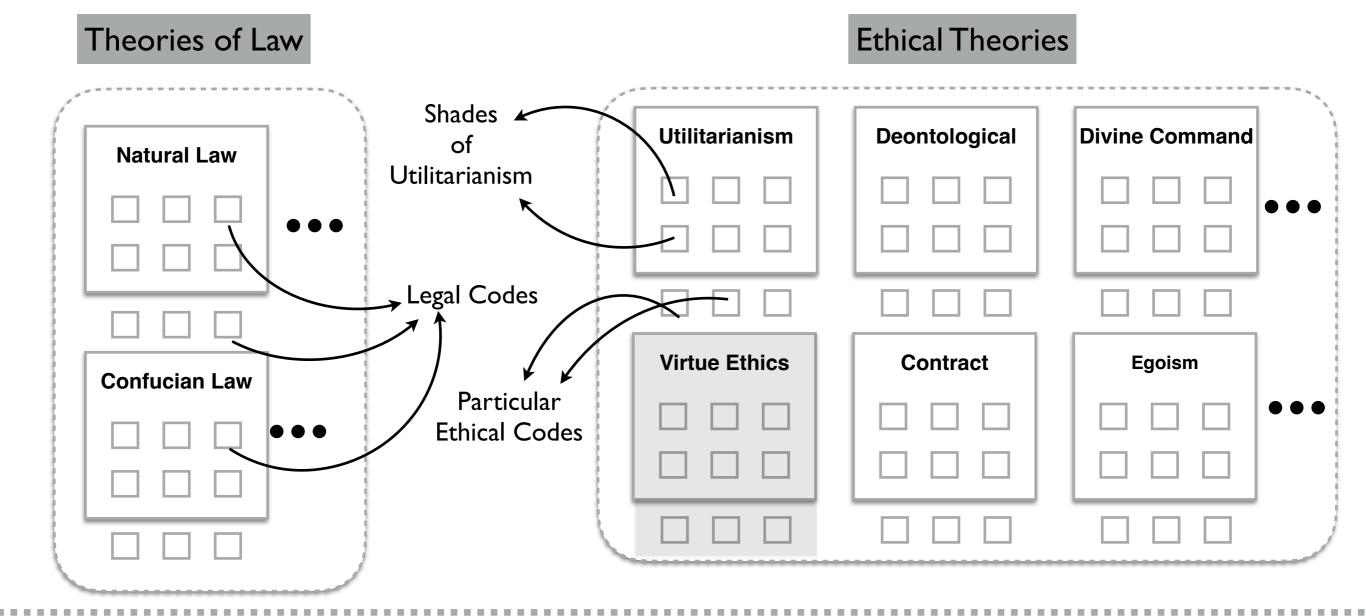


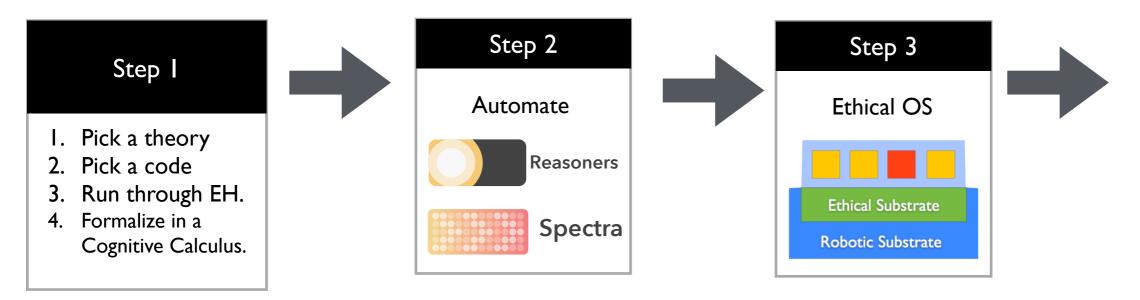
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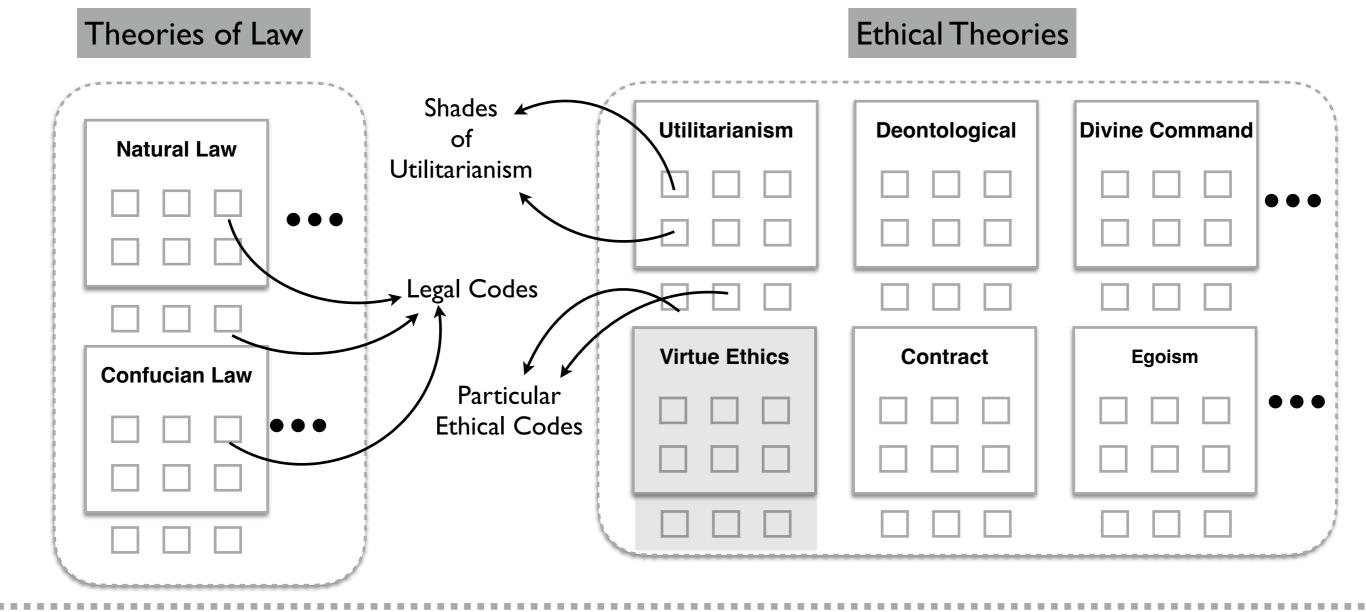


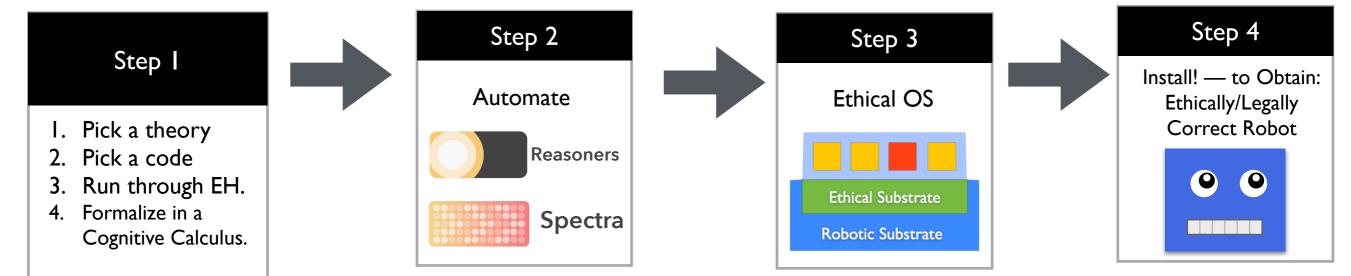






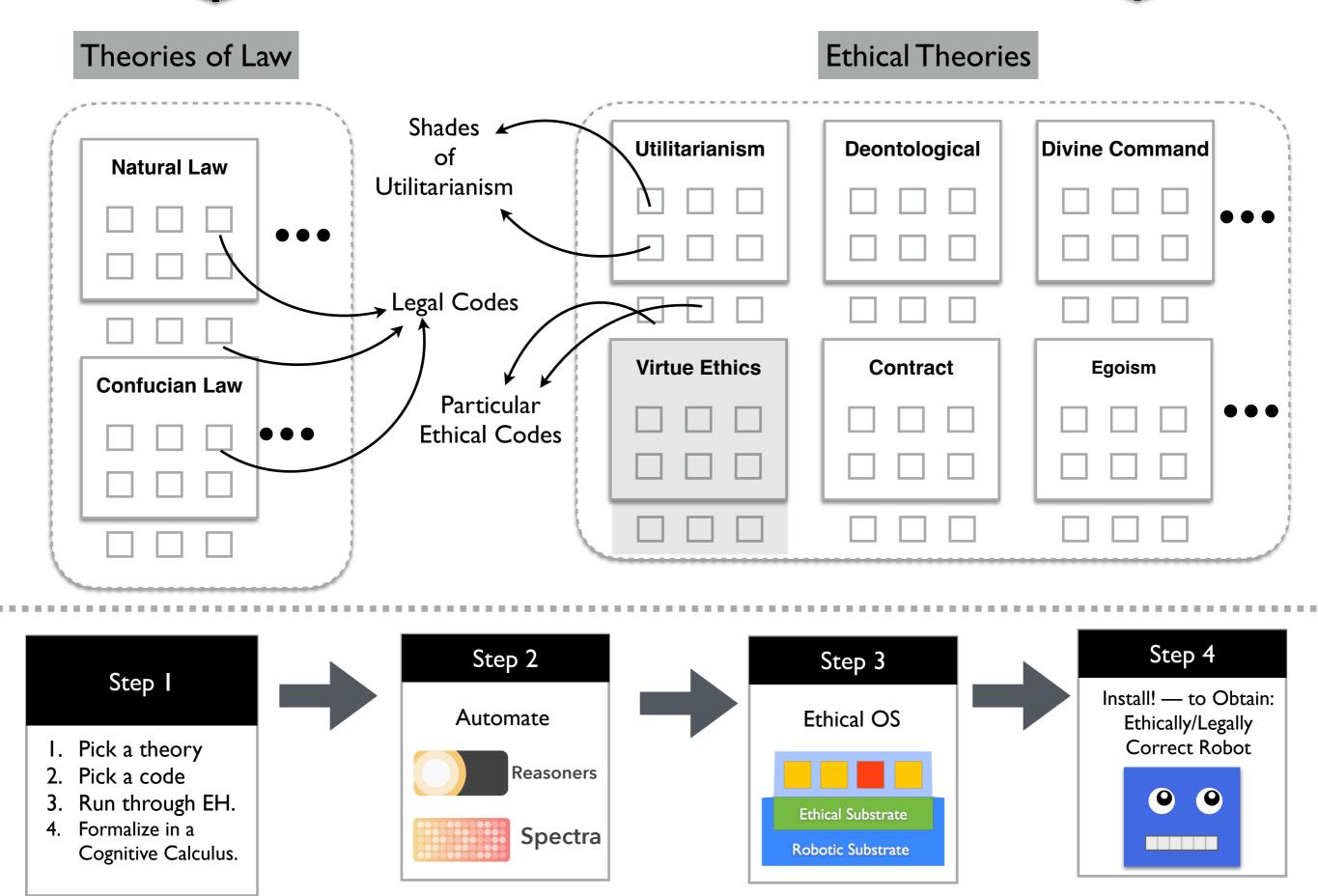












e.g. "Toward the Engineering of Virtuous Robots" Naveen, Selmer et al.

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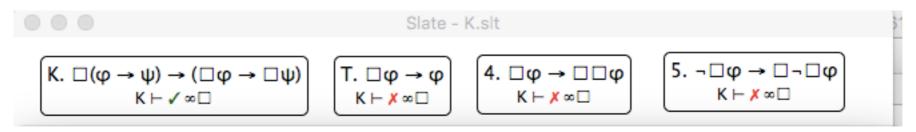
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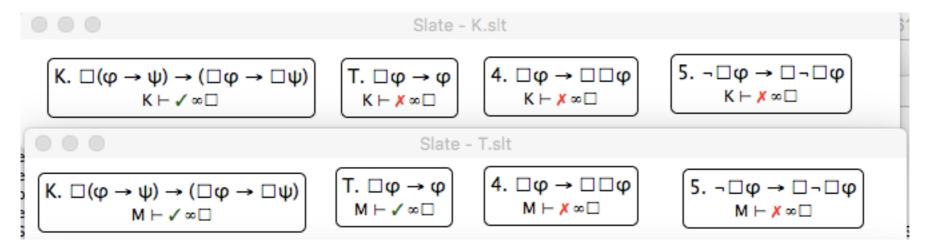
The Four Steps aren't operative here!! ...

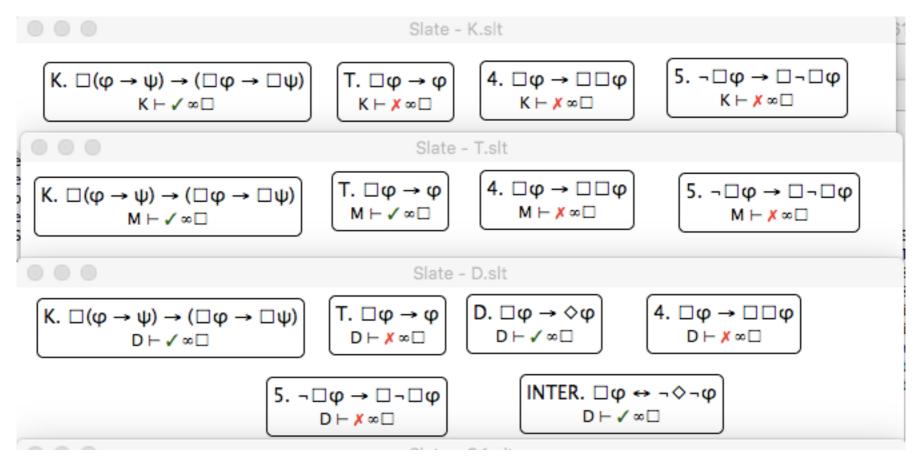
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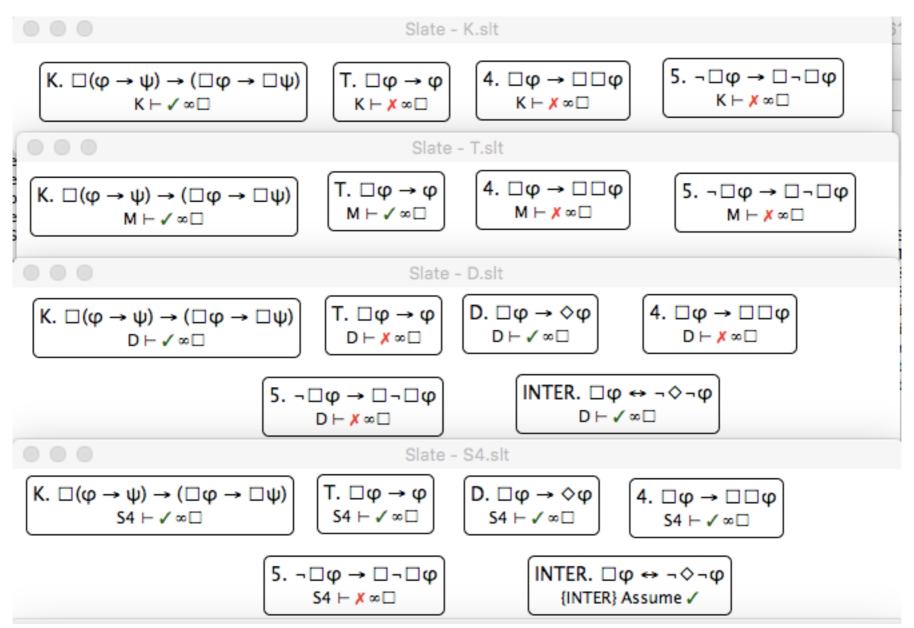
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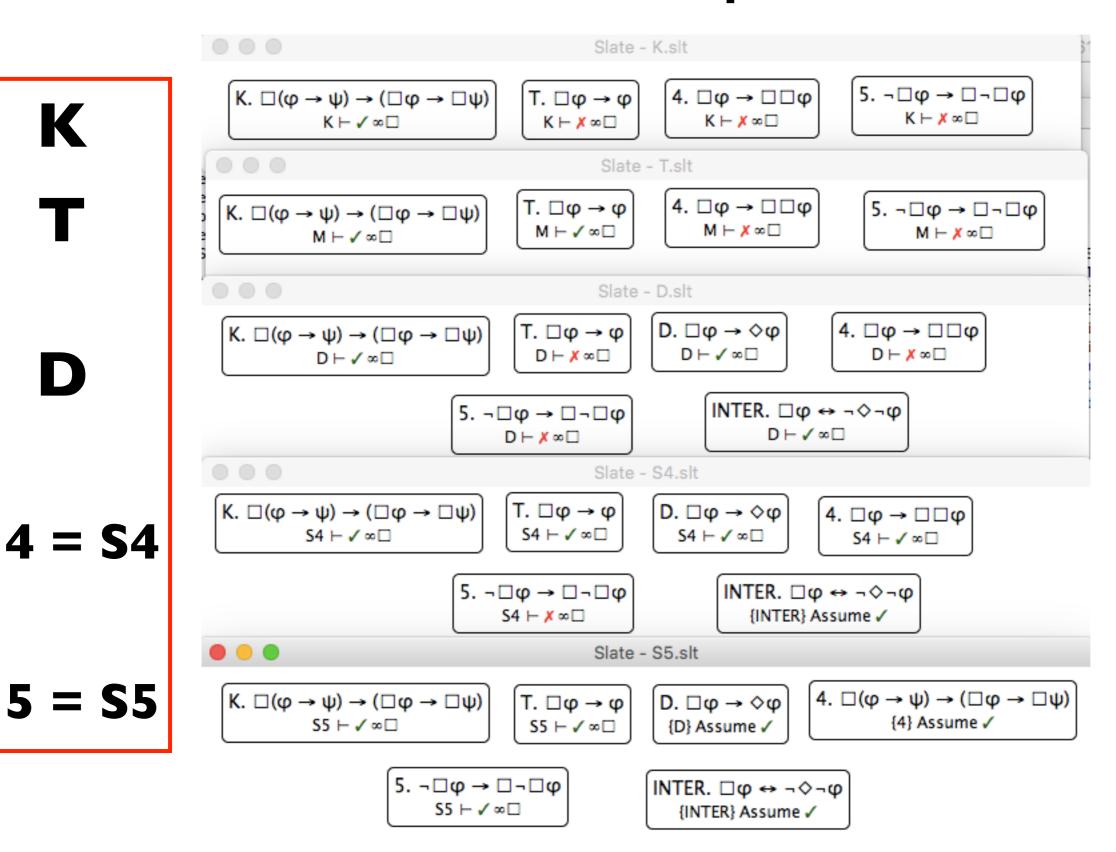
Not $D = SDL! \dots$

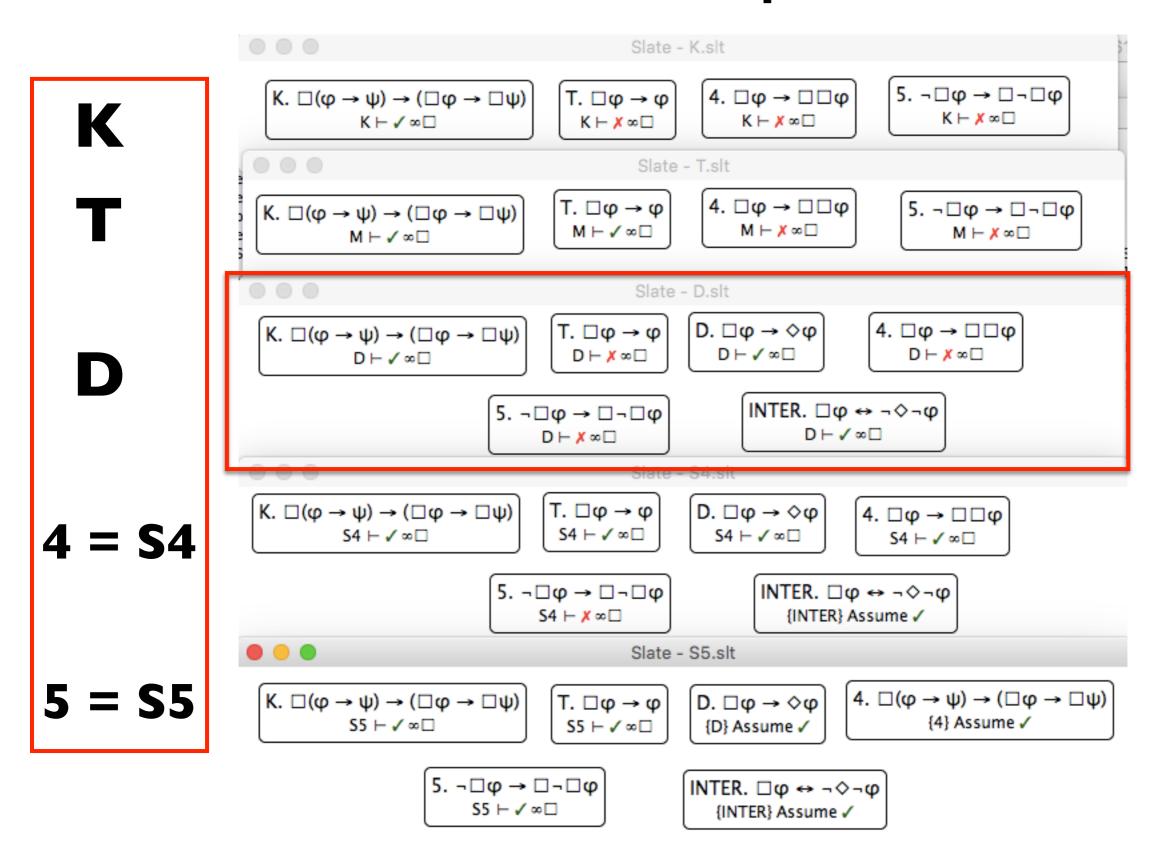












Chisholm's Paradox ...

4.4.4 D = SDL (= 'Standard Deontic Logic')

We here introduce what is known as 'Standard Deontic Logic' (**SDL**), which in Slate is the system **D**. Deontic logic is the sub-branch of logic devoted to formalizing the fundamental concepts of morality; for example, the concepts of *obligation*, *permissibility*, and *forbiddenness*. The first of these three concepts can apparently serve as a cornerstone, since to say that ϕ (a formulae representing some state-of-affairs) is permissible seems to amount to saying that it's not obligatory that it not be the case that ϕ (which shows permissibility can be defined in terms of obligation), and to say that ϕ is forbidden would seem to amount to it being obligatory that it not be the case that ϕ (which of course appears to show that forbiddenness buildable from obligation). This interconnected trio of ethical concepts is a triad explicitly invoked and analyzed since the end of the 18^{th} century, and the importance of the triad even to modern deontic logic would be quite hard to exaggerate.

SDL is traditionally axiomatized by the following: 10

SDL

TAUT All theorems of the propositional calculus.

OB-K
$$\odot(\phi \rightarrow \psi) \rightarrow (\odot \phi \rightarrow \odot \psi)$$

OB-D
$$\odot \phi \rightarrow \neg \odot \neg \phi$$

MP If
$$\vdash \phi$$
 and $\vdash \phi \rightarrow \psi$, then $\vdash \phi$

OB-NEC If
$$\vdash \phi$$
 then $\vdash \odot \phi$

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CHAPTER 4. PROPOSITIONAL MODAL LOGIC

OB-RE If $\vdash \phi \longleftrightarrow \psi$, then $\vdash \odot \phi \longleftrightarrow \odot \psi$.

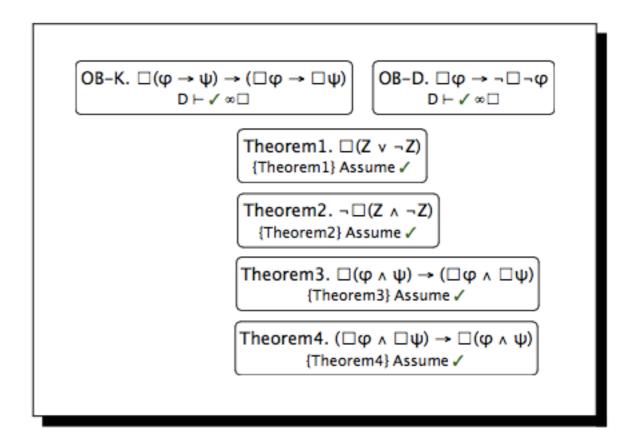


Figure 4.7: The Initial Configuration Upon Opening the File SDL.slt

4.4.4.1 Chisholm's Paradox and SDL

There are a host of problems that, together, constitute what is probably a fatal threat to **SDL** as a model of human-level ethical reasoning. We discuss in the present section the first of these problems to hit the "airwaves": Chisholm's Paradox (CP) (Chisholm 1963). CP can be generated in Slate, you we shall see. But before we get to the level of experimentation in Slate, let's understand the scenario that Chisholm's imagined.

Chisholm's clever scenario revolves around the character Jones. 11 It's given that Jones is obligated to go to assist his neighbors, in part because he has promised to do so. The second given fact is that it's obligatory that, if Jones goes to assist his neighbors, he tells them (in advance) that he is coming. In addition, and this is the third given, if Jones doesn't go to assist his neighbors, it's obligatory that he not tell

CHAPTER 4. PROPOSITIONAL MODAL LOGIC

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¹¹We change some particulars to ease exposition; generally, again, follow, the SEP entry on deontic logic (recall footnote 10). The core logic mirrors (Chisholm 1963), the original publication.

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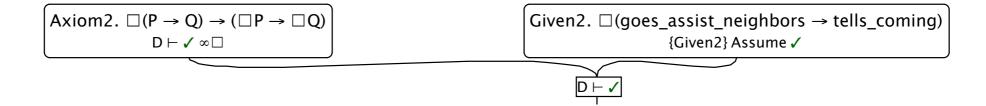
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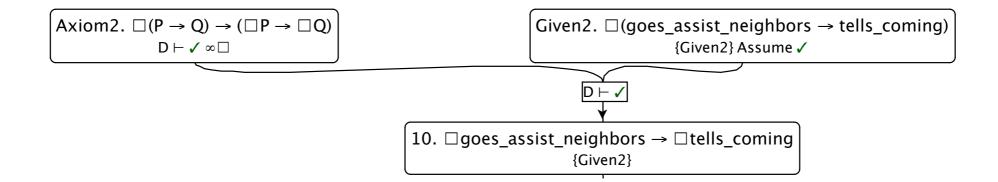
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Axiom5. "Theorems are obligatory." {Axiom5} Assume ✓

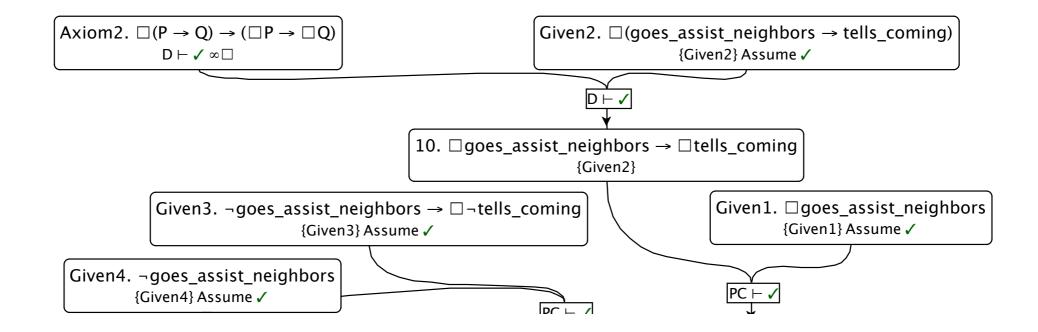
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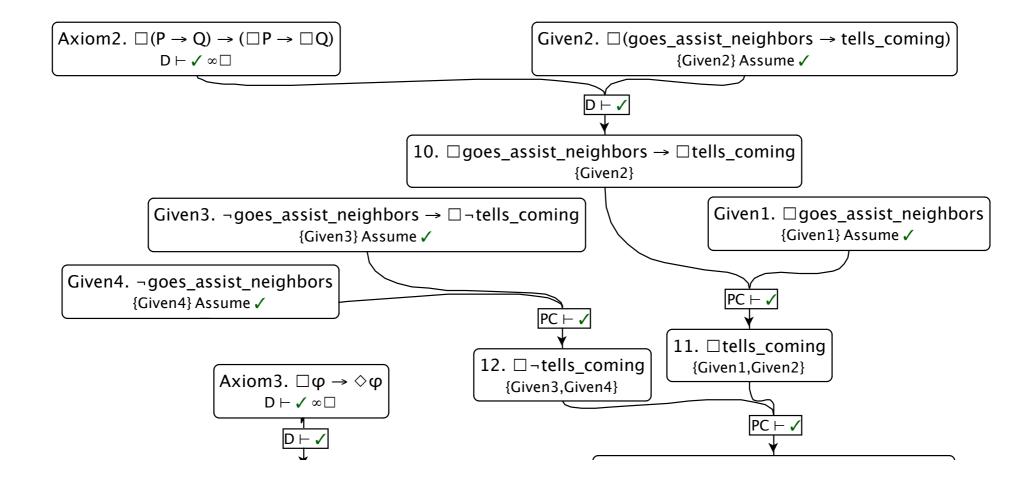
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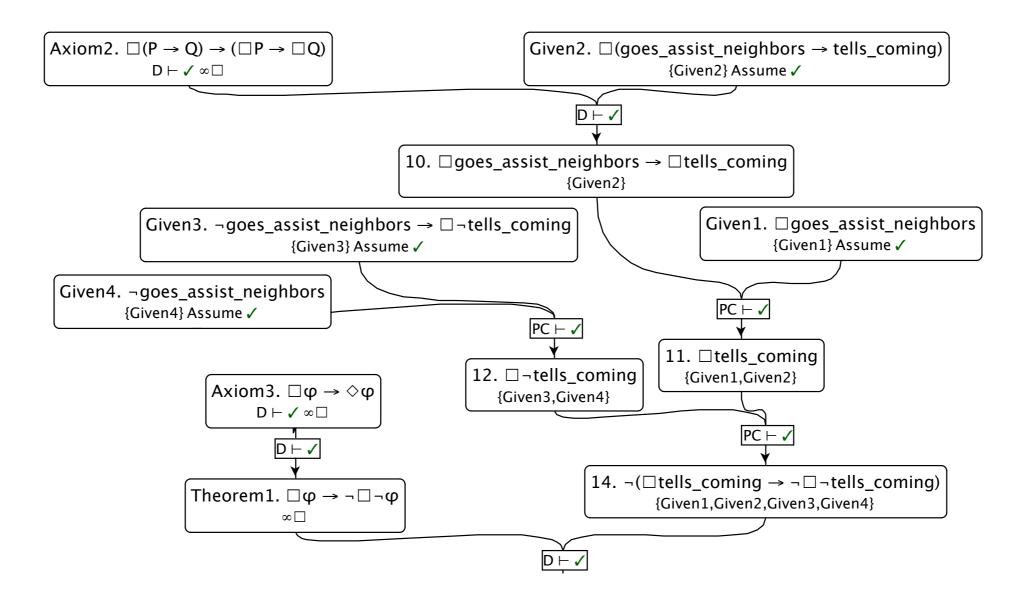


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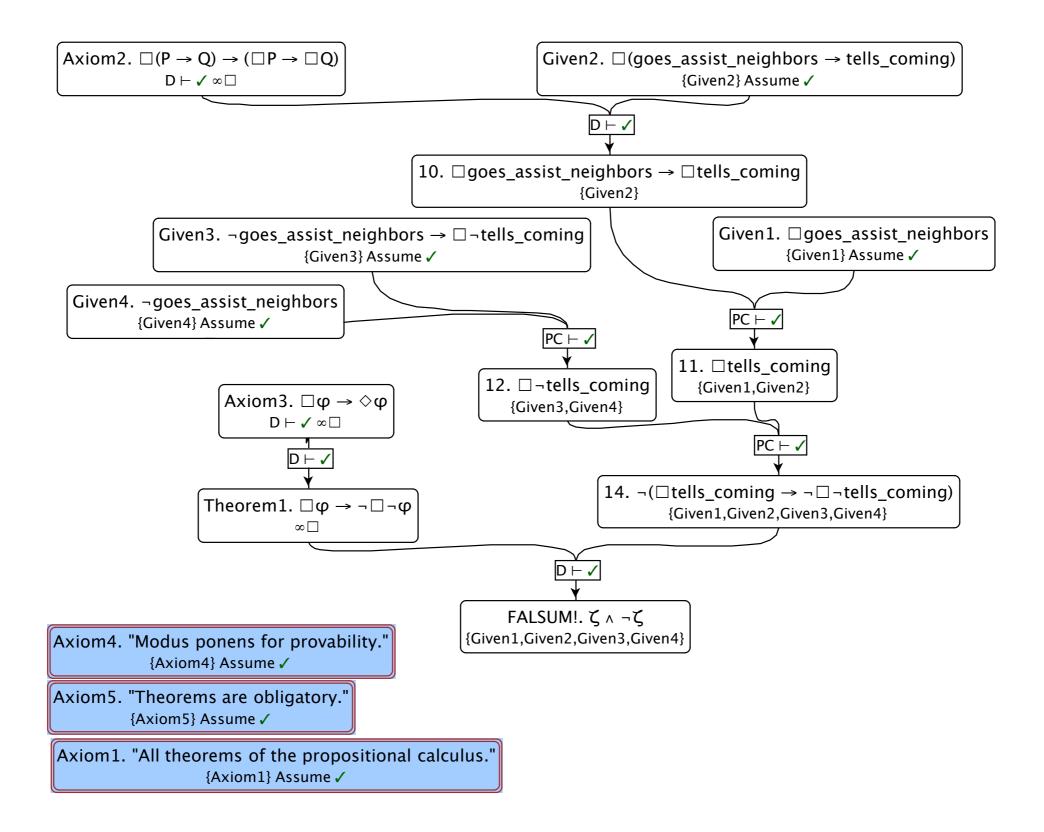
Axiom1 Assume

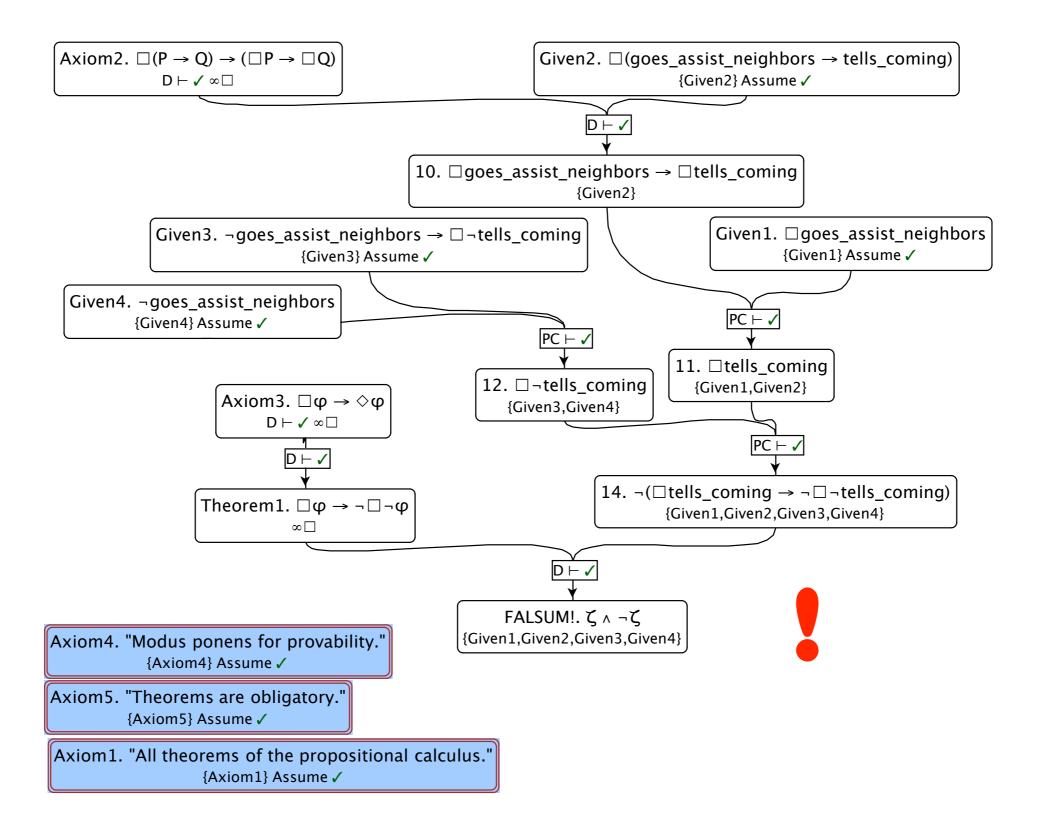


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Required

☐ **Q** ChisholmsParadox

Here you are asked to build a proof that confirms Chisholm's Paradox. This paradox is that from a particular representation in **D** (= Standard Deontic Logic (SDL)) of four seemingly innocuous givens, a contradiction $\zeta \land \neg \zeta$ can be deduced. (Your instructor should have covered this in class, and may well have supplied a proof of CP.) The four givens are based on the story of a character Jones, who is obligated to go to assist his neighbors (move to a different domicile, e.g.). It would be wrong of him to show up unannounced, though; so if he goes to assist them, it ought to be that he tells them he's coming. In addition, if it's not the case that Jones goes to assist them, then it ought to be that it not be the case that he tells them he is coming. Finally, as a matter of fact, it's not case the Jones goes to assist (because on the way he comes across a car accident, and has an opportunity to save one of the victims).

Fortunately, the RAIR Lab's modern cognitive calculus \mathcal{DCEC}^* allows Chisholm's Paradox to be avoided. A recent paper explaining the use by an ethically correct AI of this calculus is available here.

Your finished proof is allowed to make use of the PC provability oracle, but of no other oracle.

Deadline November 12, 2020, 3:00 PM EST

Overall Measures for: ChisholmsParadox

Total Submissions

Passed 37

Failed

SDL's = D's Problems Don't Stop Here: The Free Choice Permission Paradox ...

- 1. "You may either sleep on the sofa bed or the guest bed." {1} Assume ✓
- 2. "Therefore: You may sleep on the sofa bed, and you may sleep on the guest bed." {2} Assume ✓

- 1'. ♦(sofa-bed v guest-bed) {1'} Assume ✓
- "You may either sleep on the sofa bed or the guest bed."
 Assume ✓



- 2'. \diamondsuit sofa-bed $\land \diamondsuit$ guest-bed $\{1'\}$
- 2. "Therefore: You may sleep on the sofa bed, and you may sleep on the guest bed." {2} Assume ✓

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NEW SCHEMA?. $\diamondsuit(\phi \lor \psi) \to (\diamondsuit\phi \land \diamondsuit\psi)$ {NEW SCHEMA?} Assume \checkmark

- 1'. ♦(sofa-bed v guest-bed) {1'} Assume ✓
- 1. "You may either sleep on the sofa bed or the guest bed." {1} Assume ✓



- 2'. \diamond sofa-bed $\land \diamond$ guest-bed {1'}
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NEW SCHEMA?.
$$\diamondsuit(\phi \lor \psi) \rightarrow (\diamondsuit\phi \land \diamondsuit\psi)$$
{NEW SCHEMA?} Assume \checkmark

COMMENT. "We can prove:" {COMMENT} Assume ✓

THM 5.
$$\Diamond \phi \rightarrow \Diamond (\phi \lor \psi)$$

$$D \vdash \checkmark \infty \Box$$

- 1'. \diamondsuit (sofa-bed v guest-bed) {1'} Assume \checkmark
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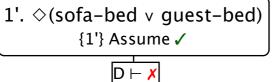
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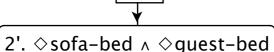
$$D \vdash \checkmark \infty \square$$

(How?)

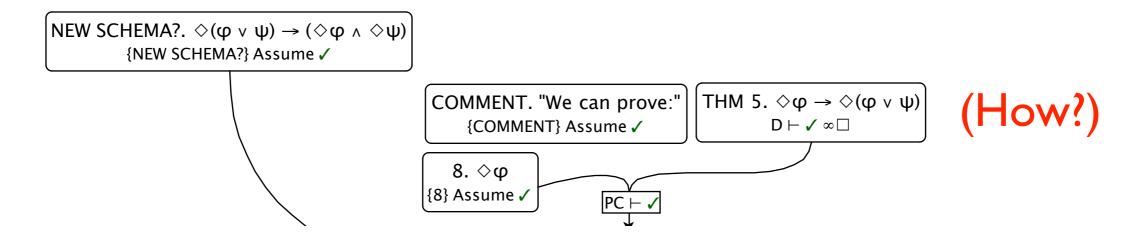


{1'}

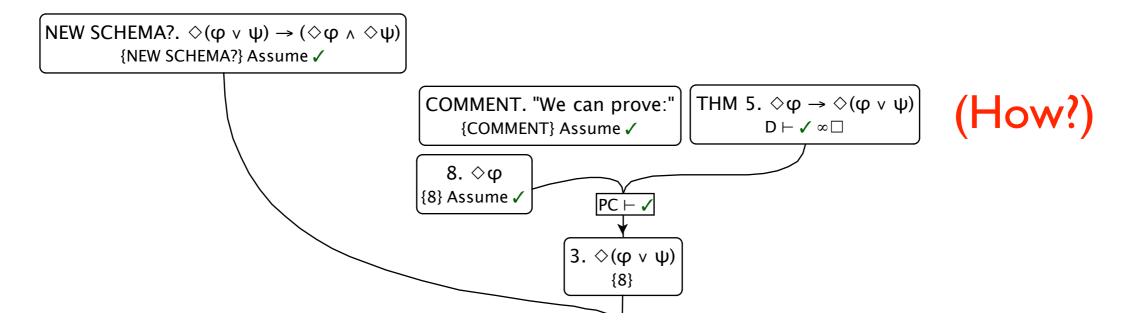
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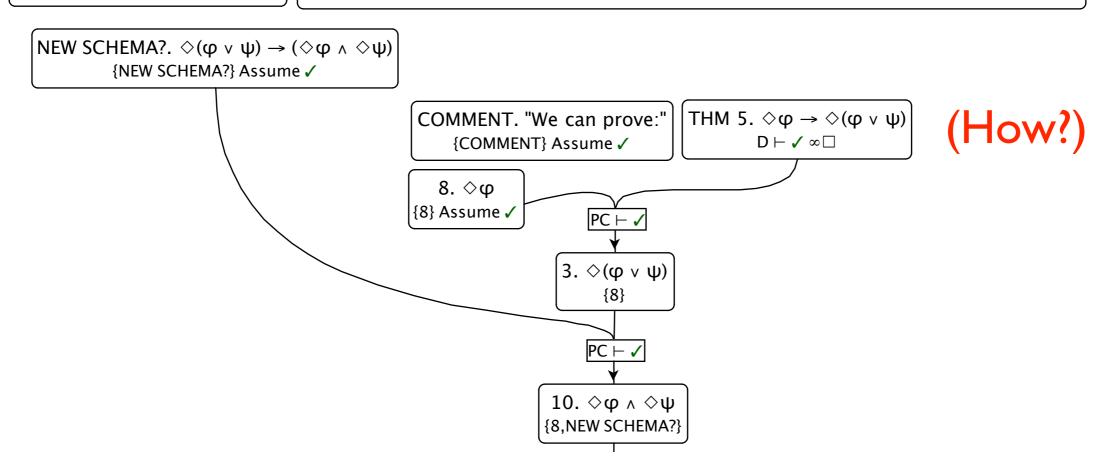
The Free Choice Permission Paradox (Ross)

1'. ◇(sofa-bed v guest-bed)
{1'} Assume ✓

D ⊢ X

{1'}

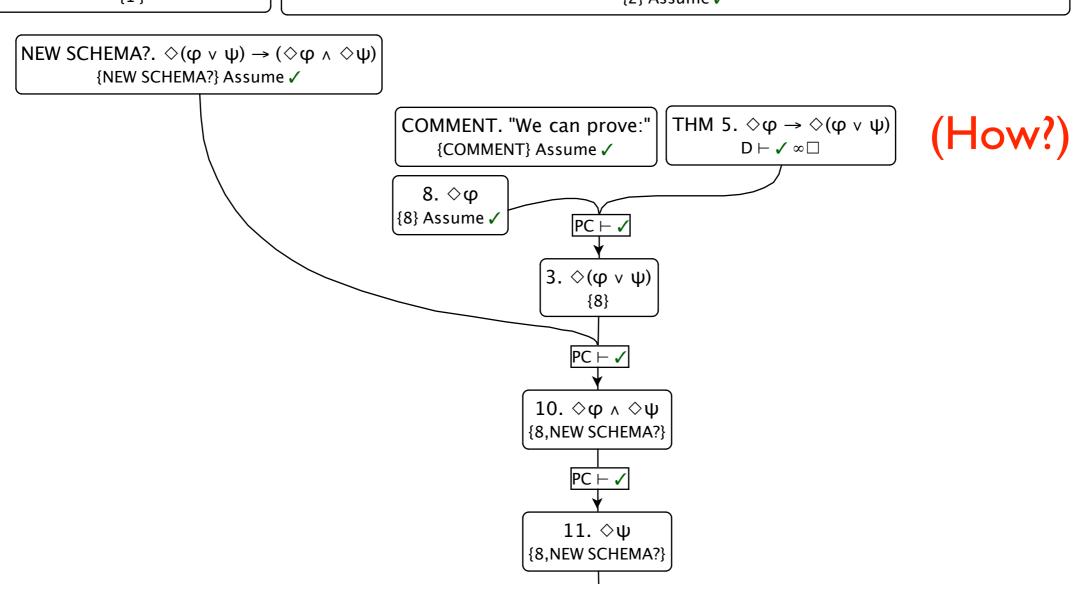
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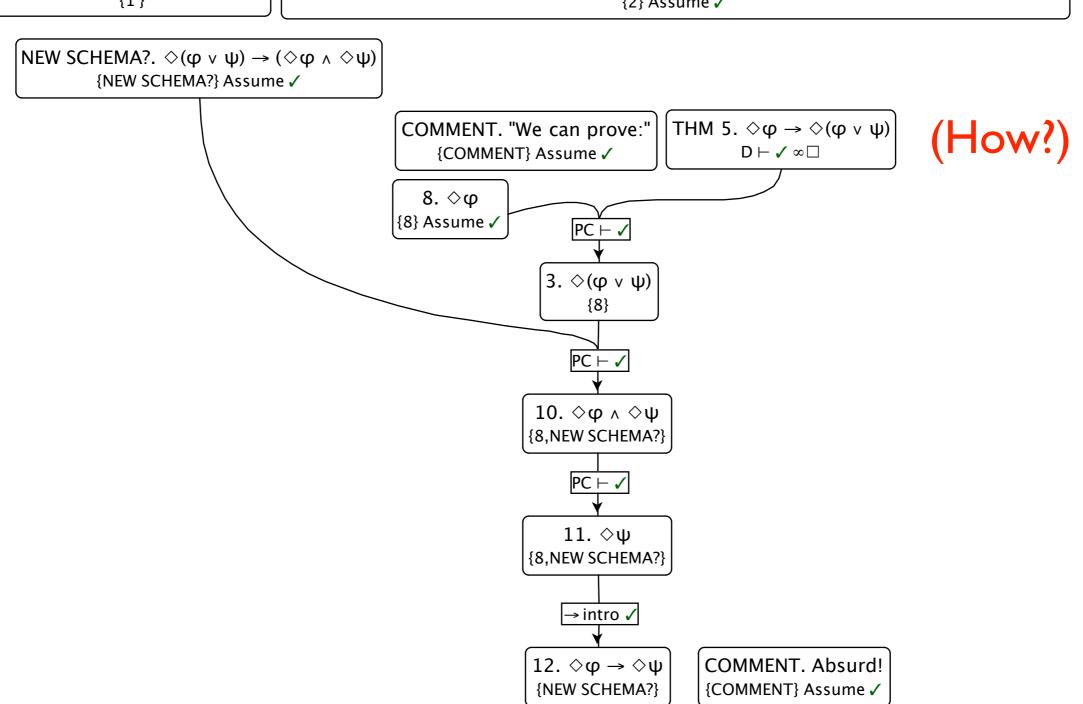
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 □ ⊢ X
- 1. "You may either sleep on the sofa bed or the guest bed." {1} Assume ✓
- 2'. ♦sofa-bed ∧ ♦guest-bed {1'}
- 2. "Therefore: You may sleep on the sofa bed, and you may sleep on the guest bed." {2} Assume ✓



The Free Choice Permission Paradox (Ross)

- 1'. ◇(sofa-bed v guest-bed)
 {1'} Assume ✓
- 1. "You may either sleep on the sofa bed or the guest bed." {1} Assume ✓
- 2'. \diamond sofa-bed $\land \diamond$ guest-bed $\{1'\}$
- 2. "Therefore: You may sleep on the sofa bed, and you may sleep on the guest bed." {2} Assume ✓



Required

▼ ChoicePermissionParadox

Producing a valid proof in this problem will enable you to understand The Free Choice Permission Paradox (FCPP), discovered in 1941 by Ross ("Imperatives and Logic," Theoria 7: 53-71). Given that the proof in question yields an absurdity, FCPP can be taken to show that SDL (Standard Deontic Logic) = D leads to inconsistency when applied; or, put in AI terms, you wouldn't want a robot to base its ethical decision-making on D! Fortunately, the RAIR Lab's modern cognitive calculus \mathcal{DCEC}^* allows FCPP to be avoided. (A recent paper explaining the use by an ethically correct AI of this calculus is available here.)

Here's the paradox. Suppose that you travel to visit a friend, arrive late at night, and are weary. Your friend says hospitably: "You may either sleep on the sofa-bed or sleep on the guest-room bed." (1) From this statement it follows that you are permitted to sleep on the sofa-bed, and you are permitted to sleep on the guest-room bed. (2) In D, this pair gets symbolized like this:

 $\Diamond(sofabed \lor guestbed)$

(2')

 $\lozenge sofabed \land \lozenge questbed$

But (2') doesn't follow deductively from (1') in **D**, as a call to the provability oracle for **D** in the HyperSlateTM file for this problem confirms. A suggested repair is to add to **D** the schema

$$\Diamond(\phi\lor\psi)\to(\Diamond\phi\land\Diamond\psi),$$

but as your proof will (hopefully) show, this addition allows a proof of the absurd theorem that if anything is morally perimssible, everything is!

Your finished proof is allowed to make use of the PC provability oracle, but of no other oracle.

Deadline November 12, 2020, 3:00 PM EST

"Computational logician, sorry, back to your drawing board to find a logic that works with The Four Steps!"

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Your finished proof is allowed to make use of the PC provability oracle, but of no other oracle. (No deadline for now.)

DCEC*!!!

https://www.ijcai.org/Proceedings/2017/0658.pdf

Proceedings of the Twenty-Sixth International Joint Conference on Artificial Intelligence (IJCAI-17)

On Automating the Doctrine of Double Effect

Naveen Sundar Govindarajulu and Selmer Bringsjord

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Abstract

The doctrine of double effect (\mathcal{DDE}) is a long-studied ethical principle that governs when actions that have both positive and negative effects are to be allowed. The goal in this paper is to automate \mathcal{DDE} . We briefly present \mathcal{DDE} , and use a first-order modal logic, the deontic cognitive event calculus, as our framework to formalize the doctrine.

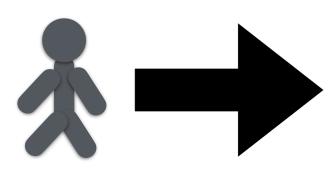
— provided that 1) the harmful effects are not intended; 2) the harmful effects are not used to achieve the beneficial effects (harm is merely a *side*-effect); and 3) benefits outweigh the harm by a significant amount. What distinguishes \mathcal{DDE} from, say, naïve forms of consequentialism in ethics (e.g. act utilitarianism, which holds that an action is obligatory for an autonomous agent if and only if it produces the most utility among all competing actions) is that purely mental intentions in and of themselves independent of conse

4 Informal \mathcal{DDE}

We now informally but rigorously present \mathcal{DDE} . We assume we have at hand an ethical hierarchy of actions as in the deontological case (e.g. forbidden, neutral, obligatory); see [Bringsjord, 2017]. We also assume that we have a utility or goodness function for states of the world or effects as in the consequentialist case. For an autonomous agent a, an action α in a situation σ at time t is said to be \mathcal{DDE} -compliant iff:

- C₁ the action is not forbidden (where we assume an ethical hierarchy such as the one given by Bringsjord [2017], and require that the action be neutral or above neutral in such a hierarchy);
- C_2 The net utility or goodness of the action is greater than some positive amount γ ;
- C_{3a} the agent performing the action intends only the good effects;
- C_{3b} the agent does not intend any of the bad effects;
- C₄ the bad effects are not used as a means to obtain the good effects; and
- C₅ if there are bad effects, the agent would rather the situation be different and the agent not have to perform the action. That is, the action is unavoidable.





Formal Conditions for \mathcal{DDE}

 $\mathbf{F_1}$ α carried out at t is not forbidden. That is:

$$\Gamma \not\vdash \neg \mathbf{O}(a,t,\sigma,\neg happens(action(a,\alpha),t))$$

 $\mathbf{F_2}$ The net utility is greater than a given positive real γ:

$$\Gamma \vdash \sum_{y=t+1}^{H} \left(\sum_{f \in \alpha_I^{a,t}} \mu(f,y) - \sum_{f \in \alpha_T^{a,t}} \mu(f,y) \right) > \gamma$$

F_{3a} The agent a intends at least one good effect. (**F**₂ should still hold after removing all other good effects.) There is at least one fluent f_g in $\alpha_I^{a,t}$ with $\mu(f_g,y) > 0$, or f_b in $\alpha_T^{a,t}$ with $\mu(f_b,y) < 0$, and some y with $t < y \le H$ such that the following holds:

$$\Gamma \vdash egin{pmatrix} \exists f_g \in \pmb{lpha}_I^{a,t} \ \mathbf{I}\Big(a,t,Holdsig(f_g,yig)\Big) \ \lor \ \exists f_b \in \pmb{lpha}_T^{a,t} \ \mathbf{I}\Big(a,t,\lnot Holdsig(f_b,yig)\Big) \end{pmatrix}$$

F_{3b} The agent a does not intend any bad effect. For all fluents f_b in $\alpha_I^{a,t}$ with $\mu(f_b,y) < 0$, or f_g in $\alpha_T^{a,t}$ with $\mu(f_g,y) > 0$, and for all y such that $t < y \le H$ the following holds:

$$\Gamma \not\vdash \mathbf{I}(a,t,Holds(f_b,y))$$
 and $\Gamma \not\vdash \mathbf{I}(a,t,\neg Holds(f_g,y))$

F₄ The harmful effects don't cause the good effects. Four permutations, paralleling the definition of \triangleright above, hold here. One such permutation is shown below. For any bad fluent f_b holding at t_1 , and any good fluent f_g holding at some t_2 , such that $t < t_1, t_2 \le H$, the following holds:

$$\Gamma \vdash \neg \rhd (Holds(f_b, t_1), Holds(f_g, t_2))$$



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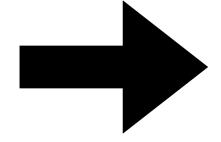
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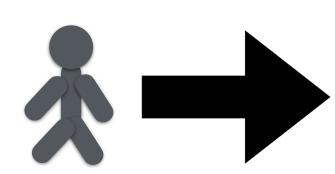
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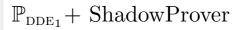
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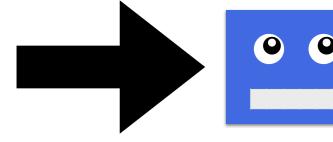
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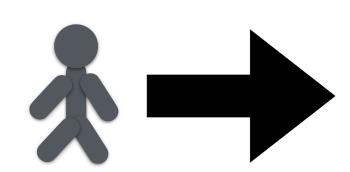
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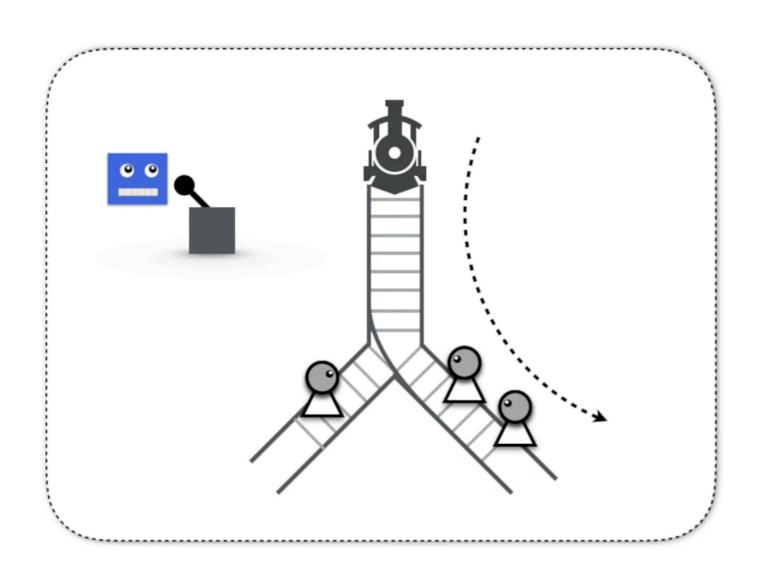
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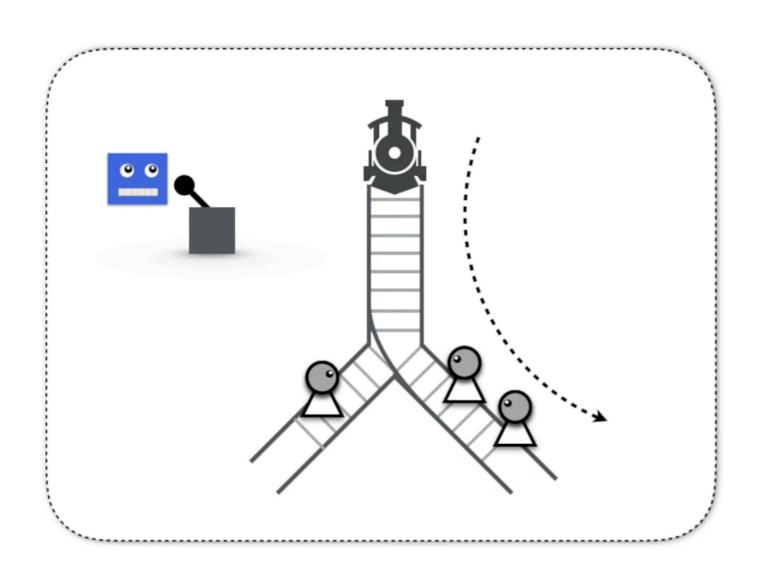
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Inference Schemata

$$\frac{\mathbf{K}(a,t_1,\Gamma), \ \Gamma \vdash \phi, \ t_1 \leq t_2}{\mathbf{K}(a,t_2,\phi)} \quad [R_{\mathbf{K}}] \quad \frac{\mathbf{B}(a,t_1,\Gamma), \ \Gamma \vdash \phi, \ t_1 \leq t_2}{\mathbf{B}(a,t_2,\phi)} \quad [R_{\mathbf{B}}]$$

$$\frac{\mathbf{C}(t,\mathbf{P}(a,t,\phi) \to \mathbf{K}(a,t,\phi))}{\mathbf{C}(t,\mathbf{P}(a,t,\phi) \to \mathbf{K}(a,t,\phi))} \quad [R_1] \quad \frac{\mathbf{C}(t,\mathbf{K}(a,t,\phi) \to \mathbf{B}(a,t,\phi))}{\mathbf{C}(t,\mathbf{K}(a,t,\phi) \to \mathbf{B}(a,t,\phi))} \quad [R_2]$$

$$\frac{\mathbf{C}(t,\phi) \ t \leq t_1 \dots t \leq t_n}{\mathbf{K}(a_1,t_1,\dots \mathbf{K}(a_n,t_n,\phi)\dots)} \quad [R_3] \quad \frac{\mathbf{K}(a,t,\phi)}{\phi} \quad [R_4]$$

$$\frac{\mathbf{C}(t,\mathbf{K}(a,t_1,\phi_1 \to \phi_2)) \to \mathbf{K}(a,t_2,\phi_1) \to \mathbf{K}(a,t_3,\phi_2)}{\mathbf{C}(t,\mathbf{B}(a,t_1,\phi_1 \to \phi_2)) \to \mathbf{C}(t_2,\phi_1) \to \mathbf{C}(t_3,\phi_2)} \quad [R_6]$$

$$\frac{\mathbf{C}(t,\mathbf{C}(t_1,\phi_1 \to \phi_2)) \to \mathbf{C}(t_2,\phi_1) \to \mathbf{C}(t_3,\phi_2)}{\mathbf{C}(t,\phi_1 \leftrightarrow \phi_2 \to \neg \phi_2 \to \neg \phi_1)} \quad [R_9]$$

$$\frac{\mathbf{C}(t,\nabla x. \ \phi \to \phi[x \mapsto t])}{\mathbf{C}(t,[\phi_1 \land \dots \land \phi_n \to \phi] \to [\phi_1 \to \dots \to \phi_n \to \psi])} \quad [R_{10}]$$

$$\frac{\mathbf{S}(s,h,t,\phi)}{\mathbf{B}(h,t,\mathbf{B}(s,t,\phi))} \quad [R_{12}] \quad \frac{\mathbf{I}(a,t,happens(action(a^*,\alpha),t'))}{\mathbf{P}(a,t,happens(action(a^*,\alpha),t))} \quad [R_{13}]$$

$$\frac{\mathbf{B}(a,t,\phi) \quad \mathbf{B}(a,t,\mathbf{O}(a,t,\phi,\chi)) \quad \mathbf{O}(a,t,\phi,\chi)}{\mathbf{K}(a,t,\mathbf{I}(a,t,\chi))} \quad [R_{14}]$$

Inference Schemata

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$$\frac{\mathbf{C}(t,\mathbf{P}(a,t,\phi) \to \mathbf{K}(a,t,\phi))}{\mathbf{C}(t,\mathbf{P}(a,t,\phi) \to \mathbf{K}(a,t,\phi))} \quad [R_1] \quad \frac{\mathbf{C}(t,\mathbf{K}(a,t,\phi) \to \mathbf{B}(a,t,\phi))}{\mathbf{C}(t,\mathbf{K}(a,t,\phi) \to \mathbf{B}(a,t,\phi))} \quad [R_2]$$

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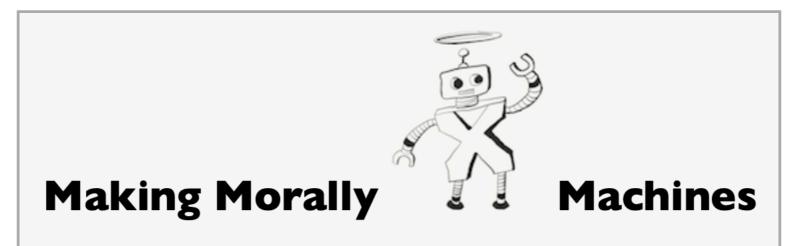
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$$\frac{\mathbf{C}(t,\mathbf{C}(t_1,\phi_1 \to \phi_2)) \to \mathbf{C}(t_2,\phi_1) \to \mathbf{C}(t_3,\phi_2)}{\mathbf{C}(t,\phi_1 \leftrightarrow \phi_2 \to \neg \phi_2 \to \neg \phi_1)} \quad [R_9]$$

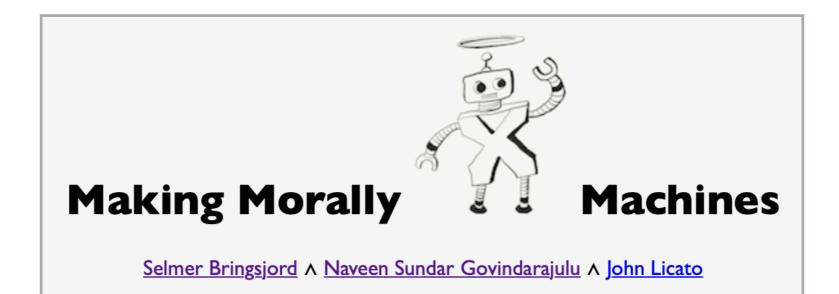
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Selmer Bringsjord A Naveen Sundar Govindarajulu A John Licato



er løsningen, med nok penger!